

**AUTHORIZATION FOR BACKGROUND CHECK for Unlicensed/License Exempt Child Care**

READ INSTRUCTIONS ON PAGE 2. PRINT ALL INFORMATION ON PAGE 1. SIGN PAGES 1, 2 AND 3.

<b>CHECK ONE BOX IN EACH COLUMN IN EITHER ROW A or B:</b>			
	<b>Category of Facility</b>	<b>Specific Type of Application</b>	<b>Child Care Provider</b>
<b>1</b>	<b>A</b> <b>Unlicensed Child Care</b>	<input type="checkbox"/> Unlicensed Day Care Provider (Care provided in a home setting; whether the child's residence/placement or provider's home)	<input type="checkbox"/> Provider(non-related) <input type="checkbox"/> Household Member (ages 13 <u>through</u> 17)*(non-related) *Parent/Guardian signature required <input type="checkbox"/> Household Member (age 18 and over / non-related)
	<b>B</b> <b>License-Exempt Child Care Facility</b>	<input type="checkbox"/> License-Exempt Facility	<input type="checkbox"/> Director/Operator <input type="checkbox"/> Employee/Volunteer/Conditional Employee

**PERSONAL INFORMATION (Please see additions instructions on the back page)**

Last Name/First Name/Middle Initial _____		Social Security or ITIN Number _____ - _____ - _____							
Maiden and/or Any Names Formerly Used (Last/First/Middle Initial) _____		Have you lived outside of Illinois in the past 5 years? <input type="checkbox"/> Yes <input type="checkbox"/> No							
CURRENT ADDRESS, TELEPHONE (when applicable): Street/Apt.#: _____		List all previous addresses for the past five (5) years, including those outside of Illinois. (Street/Apt.#/City/County/State/Zip Code)						Dates From/To	
City: _____ State: ____		_____						_____	
Zip Code: _____ County: _____		_____						_____	
Home Telephone ( _____ ) _____ - _____		_____						_____	
Cell Phone ( _____ ) _____ - _____		_____						_____	
Date of Birth (Month/Date/Year) ____-____-____	Age	Place of Birth (City and State)	Citizenship (Country) <input type="checkbox"/> USA <input type="checkbox"/> Other Specify	Gender <input type="checkbox"/> M <input type="checkbox"/> F	Height Ft. In.	Weight (lbs.)	Hair (color)	Eye (color)	
Race (Check all that apply) <input type="checkbox"/> Native American/Alaskan (Indian or Eskimo) <input type="checkbox"/> Black/African American <input type="checkbox"/> White <input type="checkbox"/> Declined to Identify <input type="checkbox"/> Asian <input type="checkbox"/> Native Hawaiian/Pacific Islander <input type="checkbox"/> Unknown <input type="checkbox"/> Could not be Verified							Ethnicity (see codes on Page 2)		

**AUTHORIZATION /CERTIFICATION**

<b>3</b>	Have you ever been indicated as perpetrator in a child abuse/neglect investigation? <input type="checkbox"/> Yes <input type="checkbox"/> No	
	Have you ever been convicted of a criminal offense, other than a minor traffic violation? <input type="checkbox"/> Yes <input type="checkbox"/> No	
	I certify that I have read and understood the Authorization/Certification box on the back page of this form.	
Signature _____		Date _____
Parent/Guardian Signature (if applicable) _____		Date _____

<b>TO BE COMPLETED BY CASEWORKER and BACKGROUND CHECK UNIT</b>	
This authorization will not be processed without completion of this section. The case worker (Section 4) and Background Check Unit (Section 5) must complete the following:	
<b>4</b>	
Date Fingerprinted: _____	DCFS/POS Worker Region: _____
Full Name of Individual or Facility _____	DCFS/POS Region/Site /Field: _____
Provider ID #: _____	Worker's email address: _____
Street Address: _____	DCFS/POS worker's name _____
City: _____ IL Zip Code: _____	Phone Number _____ Fax Number _____
<b>5</b>	<b>FOR CENTRAL OFFICE OF LICENSING USE</b>
BACKGROUND RESULTS AS APPLICABLE	SID# _____ Clear _____ Record _____
CANTS Clearance: _____	BC-03 Registered: _____
Sex Offender Clearance: _____	FBI Sent Out: _____
Illinois State Police Clearance: _____	
FBI Clearance: _____	
Transfer Clearances: SO/CANTS: _____ ISP: _____	

**PRINT: Last Name/First Name/Middle Initial**

**Provider ID #**

**WHO SHOULD USE THIS FORM:** This form must be completed by every person age 13 or older residing in an unlicensed day care home, or employed by or volunteer at an unlicensed day care home or license exempt facility. Every person subject to a background check must complete the first three sections identifying the type of facility and what role they will have at the facility and all personal information. All identifying information must be accurate and complete. The Parent or Guardian's signature is required if background check is for a minor.

**ADDITIONAL INSTRUCTIONS FOR SECTIONS 2 AND 3 OF THE FRONT PAGE**

Name:	Current and all former names used by the individual must be included. If no other names, write "none."
Social Security, ITIN or Assigned #.	<b>THIS FORM WILL NOT BE PROCESSED WITHOUT A COMPLETE SOCIAL SECURITY, INDIVIDUAL TAXPAYER IDENTIFICATION (ITIN) NUMBER OR DEPARTMENT ASSIGNED NUMBER</b>
Address:	Current and all addresses, including county, where the person has lived in the past five years (Indicate if outside of Illinois)
Race:	Enter all race codes that apply. NA = Native American/Alaskan (Indian or Eskimo)      WH = White AS = Asian      UK = Unknown BL = Black/African American      DI = Declined to Identify PI = Native Hawaiian/Pacific Islander      CV = Could not be Verified
Ethnicity:	Enter the primary Ethnicity NH = Not Hispanic (NONE)      HA = Hispanic Central American HS = Hispanic South American      HN = Hispanic Dominican HM = Hispanic Mexican      HO = Hispanic Other HP = Hispanic Puerto Rican      UK = Unknown HD = Hispanic Spanish Descent      DI = Declined to Identify HC = Hispanic Cuban      CV = Could not be Verified

**ADDITIONAL INSTRUCTIONS FOR SECTIONS 4 OF THE FRONT PAGE**

<p><b>Instruction for Left Side -</b></p> <p>Date Fingerprinted: Provide the date the individual is fingerprinted</p> <p>Name of Facility: The full name of the individual or facility providing child care</p> <p>Provider ID #: The Provider ID.</p> <p>Street/City/Zip: The site of facility where child care is provided</p>	<p><b>Instructions for Right Side -</b></p> <p>DCFS/POS Worker Region: The region where the worker's office is located</p> <p>DCFS/POS Region/Site /Field: worker's region/site/field number</p> <p>Worker's email address: worker's @illinois.gov or agency email address</p> <p>DCFS/POS worker's name: Full name of assigned caseworker</p> <p>Phone and Fax: Worker's phone and fax numbers</p>
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The Authorization for Background Check must be submitted to the Day Care Liaison for completion of Section 4 and for forwarding to the DCFS pertinent Background Check Unit. The worker must check the form for completeness and accuracy, confirm that the person (if age 18 or older) has been fingerprinted, and verify the correct spelling of names alongside a form of identification, such as a driver's license or photo ID.

**ADDITIONAL INSTRUCTIONS**

**NOTICE of CONDITIONS for EMPLOYMENT AT A CHILD CARE FACILITY BELOW, and ISP/FBI PRIVACY ACT STATEMENT and the AUTHORIZATION/CERTIFICATION on page 3 of this form must be signed and dated by individuals over the age of 18 being fingerprinted for the background check. Individuals being fingerprinted have a right to receive a copy of this form.**

**NOTICE of CONDITIONS for EMPLOYMENT AT A CHILD CARE FACILITY**

**A conditional employee includes:**

- Employees
- Volunteers
- Non-Licensed Service Provider

A conditional employee shall not be alone with any youth-in-care or other child being served through the licensed child care facility program, until **all** background clearances have been received. This includes receipt of all fingerprint clearances and any history as a perpetrator of child abuse/neglect. A conditional employee shall have another facility employee with them who has full background clearances when any child is present. A conditional employee shall not be alone with any youth-in-care or other child served by the licensed facility, until notified by the employer that all background clearances have been received.

**Certification:**

I have read, understand and shall follow stipulations set forth as a conditional employee:

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

ISP/FBI PRIVACY ACT STATEMENT

**Authority:** The FBI’s acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

**Principal Purpose:** Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI’s Next Generation identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

**Routine Uses:** During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI’s Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

**Applicant Record Notification:** Your fingerprints will be used to check the criminal history records of the FBI. Procedures for obtaining a copy or change, correction or updating of FBI criminal history record are set forth at Title 28, Code of Federal Regulations (CFR), Section 16.30 through 16.34 or go to the FBI website at <http://www.fbi.gov/about-us/cjis/background-checks>.

Signature \_\_\_\_\_

Date \_\_\_\_\_

Parent/Guardian Signature (if applicable) \_\_\_\_\_

Date \_\_\_\_\_

AUTHORIZATION/CERTIFICATION

" I, hereby authorize the release of any criminal history record information, that may exist, regarding me from any agency, organization, institution, or entity having such information on file. I am aware and understand that my fingerprints may be retained and will be used to check the criminal history record information files of the Illinois State Police and/or the Federal Bureau of Investigation, to include but not limited to civil, criminal and latent fingerprint databases. I also understand that if my photo was taken, my photo may be shared only for employment or licensing purposes. I further understand that I have the right to challenge any information disseminated from these criminal justice agencies regarding me that may be inaccurate or incomplete pursuant to Title 28 Code of Federal Regulation 16.34 and Chapter 20 ILCS 2630/7 of the Criminal Identification Act."

I authorize the Illinois Department of Children and Family Services to conduct an investigation to determine whether I have ever been charged with a crime and, if so, the disposition of those charges. I authorize the Department to request information and assistance from the U.S. Justice Department and the Illinois Department of Law Enforcement in the conduct of this investigation. I authorize the Department to periodically search child abuse and neglect reports to determine whether I have been a perpetrator of an “indicated” incident of child abuse or neglect pursuant to the Abused and Neglected Child Reporting Act. The child abuse and neglect background check and the criminal history investigation may be used for considering an application for license, current or prospective employment, or service as a volunteer in a child care facility. Persons 13-17 years of age signing this form authorize a search of SACWIS and CANTS a only and are not subject to fingerprinting.

I understand that information obtained as a result of my authorizing this investigation is confidential. Only DCFS shall receive for review FBI Background check results and upon written request the employee, conditional employee, prospective employee or volunteer will be provided a copy. *State conviction information provided by the Department of State Police regarding employees, prospective employees, or volunteers of non-licensed service providers and child care facilities licensed under this Act shall be provided to the operator of such facility, and, upon request, to the employee, prospective employee, or volunteer of a child care facility or non-licensed service provider.* [225 ILCS 10/4.1] I further certify that the information provided on this form is true and correct. I acknowledge that falsification of any information provided above and/or the results of the background check may be full and sufficient grounds to deny the application for licensure or may result in the termination of my employment.

Should you feel that the information on your Illinois State Police record or Federal Bureau of Investigation record is incorrect you may visit: <http://www.ilga.gov/commission/jcar/admincode/020/02001210sections.html> for the ISP and <http://www.fbi.gov> for FBI.

Signature \_\_\_\_\_

Date \_\_\_\_\_

Parent/Guardian Signature (if applicable) \_\_\_\_\_

Date \_\_\_\_\_